

Our Symmetries

Arithmetic, Probability, Quantum

John Skilling (john@skilling.co.uk)

MaxEnt2025 Auckland

Start with arithmetic

Mathematicians say “*Peano axioms*” !

1: $\exists 0$ (✓)

2: \exists successor $S(\cdot) : \forall n, \exists S(n)$. Think $0 \rightarrow 1 \rightarrow 2 \rightarrow 3 \dots$ (✓)

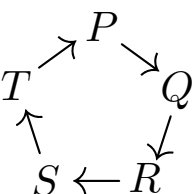
3: But what about $\rightarrow \bullet \rightarrow m \rightarrow \bullet \rightarrow \bullet \rightarrow ?$

$\rightarrow \bullet \rightarrow n$

Need to say S is invertable, \exists unique \leftarrow . (A fixup)

4: And what about $\rightarrow \bullet \rightarrow 0 \rightarrow 1 \rightarrow 2 \rightarrow \dots$?

Need to say $\nexists \bullet \rightarrow 0$. (A fixup)

5: What about  along with $0 \rightarrow 1 \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow \dots$?

Need axiom of induction to exclude disjoint cycles. (A fixup)

Fixups are a disgrace.

Mathematicians then say “*Zermelo-Fraenkel — welcome to ∞ and the Axiom of Choice*” !

Not for me.

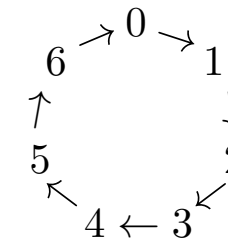
Arithmetic from symmetries

1: *We are finite.* Modelling encodes objects from a finite library (size N) of symbols.

2: Demand *lossless communication* (permutations of library).

Fundamental permutation is cyclic with prime length (no subcycles).

Arbitrarily assign labels $\underbrace{\{0, 1, 2, \dots, N-1\}}_{\text{Library}}$ with N prime.



We have Peano #1: $\exists 0$ (✓)

#2: \exists successor $S(n)$, $n = \underbrace{S(S(\dots S(0)\dots))}_n$ (✓)

#3: S invertable (✓)

#4: **False:** $S(N-1) = 0$

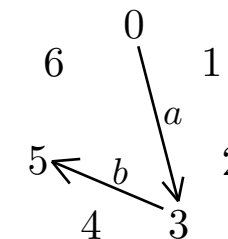
#5: Induction (✓)

Begin wraparound arithmetic.

3: *Associativity*

We want to assemble composite objects $A \oplus B$, $P \oplus Q \oplus R$, etc, ignoring irrelevant differences.
Demand that representation is associative: $a \oplus (b \oplus c) = (a \oplus b) \oplus c$

| |
|--|
| Lossless associativity \iff Additive representation $a \oplus b = a + b \pmod{N}$ |
|--|



Commutativity is emergent.

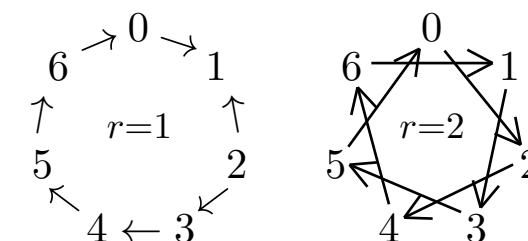
Subtraction is the inverse. $2 - 5 = 1000000 \pmod{1000003}$.

4: *Distributivity*

We want to be able to communicate additivity by transformations.

Demand that transformations are left-distributive, $T(a + b) = T(a) + T(b)$.

This gives multiplication, $T(x) = rx \pmod{N}$, with $r \neq 0$.



| |
|---|
| Left-distributivity over addition \iff Linear multiplication $a \otimes b = ab \pmod{N}$ |
|---|

Right-distributivity and associativity are emergent.

Division is the inverse. $1 \div 3 = 666669 \pmod{1000003}$.

5: *No overflow*

Demand size of application $< N$ and avoid detailing N .

To implement subtraction fully, invent negative numbers: $2 - 5 = -3$.

To implement division fully, invent rational numbers: $1 \div 3 = \frac{1}{3}$.

Continuity and order ($<, =, >$) are emergent.

Now have real line: proceed to standard mathematics, π , \exp , \log , \cos , \sin , etc.

Summary

Set the scene.

1: We are finite \implies Library $N < \infty$

2: Lossless communication \implies Cyclic permutations

Basic symmetries.

3: Lossless associativity \iff Additive representation
 $a \oplus b = a + b \pmod{N}$

4: Left-distributivity over addition \iff Linear multiplication
 $a \otimes b = ab \pmod{N}$

Get useful language.

5: Size of application $< N \implies$ standard mathematics

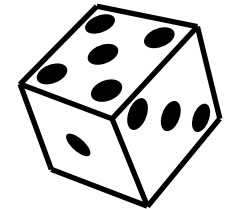
No fixups.

Application — Probability

Set the scene.

Inference is about focussing on posterior subsets $X \in Z$ of prior possibilities Z .
 $\{1, 3, 5\} \in \{1, 2, 3, 4, 5, 6\}$

Quantify by $\Pr(X | Z)$ called *probability*.



Basic symmetries.

\Pr is additive over X because disjoint subsets combine associatively.

\Pr scales multiplicatively over Z because additivity is preserved over expansion (distributivity).

$$\therefore \Pr(X | Z) = \underset{\substack{\uparrow \\ \text{measure}}}{m(X)} \underset{\substack{\nwarrow \\ \text{function}}}{f(Z)}$$

Get useful language.

Consistency during expansion of context $X \in Y \in Z$ requires $f = 1/m$.

$$\therefore \Pr(X | Z) = \frac{m(X)}{m(Z)} \quad (\text{simple proportion})$$

Hence sum and product rules of Bayesian probability.

No tedious philosophy (propensity, frequency, belief, plausibility, ...).

If you have the basic symmetries of arithmetic, then you *have* arithmetic.

Which assumption could a skeptic deny?

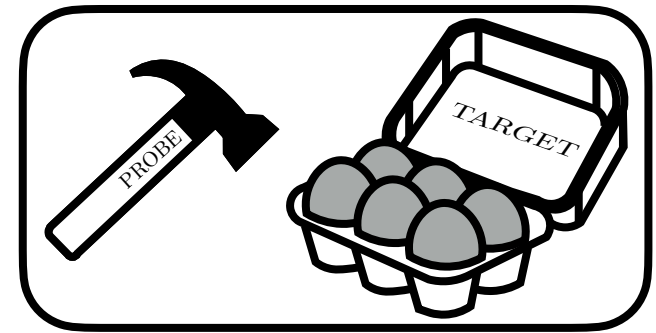
Application — Physics

Set the scene.

Physics is about *interactions*, probe~~target.

At smallest scale, cannot have full knowledge.

Modelling needs quantity and uncertainty.



Representation of object is based on number pairs.

$$x = (x_1, x_2), \quad y = (y_1, y_2), \dots$$

Basic symmetries.

Demand lossless associativity $x \oplus (y \oplus z) = (x \oplus y) \oplus z$ of assembly.

\therefore Representations add linearly, $(x \oplus y)_i = x_i + y_i$.

Demand that probing is left-distributive, $x \otimes (y + z) = x \otimes y + x \otimes z$, to preserve additivity of targets.

“Probe” and “target” are interchangeable labels, so demand right-distributivity too.

\therefore Interaction is bilinear multiplication, $(x \otimes y)_i = \sum_{jk} \varphi_{ijk} x_j y_k$ with 8 coefficients φ to be defined.

So we have
and

lossless associativity (linear addition)
left and right distributivity (bilinear multiplication).

Also demand that

operations chain associatively.

$$x \otimes (y \otimes z) = (x \otimes y) \otimes z$$

Get useful language.

The three product rules

We have bilinear multiplication $(x \otimes y)_i = \sum_{jk} \varphi_{ijk} x_j y_k$ with φ to be defined,

with associativity $x \otimes (y \otimes z) = (x \otimes y) \otimes z$

Associativity imposes 16 quadratic constraints on the 8 φ 's. $\sum_{t=1}^2 \varphi_{ixt} \varphi_{tyz} = \sum_{t=1}^2 \varphi_{itz} \varphi_{txy} \quad \forall i, x, y, z \in \{1, 2\}$

They allow three product rules

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \otimes \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \left(\underbrace{\begin{bmatrix} x_1 y_1 - x_2 y_2 \\ x_1 y_2 + x_2 y_1 \end{bmatrix}}_A \text{ or } \underbrace{\begin{bmatrix} x_1 y_1 + x_2 y_2 \\ x_1 y_2 + x_2 y_1 \end{bmatrix}}_B \text{ or } \underbrace{\begin{bmatrix} x_1 y_1 \\ x_1 y_2 + x_2 y_1 \end{bmatrix}}_C \right) \quad [\text{algebra!}]$$

Extract operator x :

$$x = \left(\underbrace{\begin{bmatrix} x_1 & -x_2 \\ x_2 & x_1 \end{bmatrix}}_A \text{ or } \underbrace{\begin{bmatrix} x_1 & x_2 \\ x_2 & x_1 \end{bmatrix}}_B \text{ or } \underbrace{\begin{bmatrix} x_1 & 0 \\ x_2 & x_1 \end{bmatrix}}_C \right)$$

Use polar coordinates.

$$x = r \left(\underbrace{\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}}_{\substack{A \\ \text{complex}}} \text{ or } \underbrace{\begin{bmatrix} \cosh \theta & \sinh \theta \\ \sinh \theta & \cosh \theta \end{bmatrix}}_{\substack{B \\ \text{split-complex}}} \text{ or } \underbrace{\begin{bmatrix} 1 & 0 \\ \theta & 1 \end{bmatrix}}_{\substack{C \\ \text{(see later)}}} \right)$$

Complex numbers from ignorance

For each product rule, phase $\theta = \arg(x)$ is additive, $\arg(x \otimes y) = \arg(x) + \arg(y)$.

Hence representation of phase interval $\Delta\theta = \theta_2 - \theta_1$ is invariant to offsets.

Hence prior probability that we (initially ignorant) assign to a phase interval is invariant to offsets.

Try rule A (complex numbers): range is cyclic from 0 to 2π . $\Pr(\theta) = \frac{1}{2\pi}$, uniform from 0 to 2π .

Try rule B or rule C: range unlimited $\theta \in (-\infty, \infty)$. No proper prior.

Rule A alone allows identification of uncertainty, as phase θ of a pair.

Representation of object is based on complex numbers. !

Quantity $\sim r$, uncertainty $\sim \theta$

Want A and B and C instead of A or B or C.

Rules A and B give us generators of the form $X = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$ and $Y = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ and $YX = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = Z$

These define a four-element group spanned by $\{\mathbf{1}, X, Y, Z\}$ with multiplication table

| $\downarrow \cdot \rightarrow$ | $\cdot \mathbf{1}$ | $\cdot X$ | $\cdot Y$ | $\cdot Z$ |
|--------------------------------|--------------------|---------------|--------------|--------------|
| $\mathbf{1} \cdot$ | $\mathbf{1}$ | X | Y | Z |
| $X \cdot$ | X | $-\mathbf{1}$ | $-Z$ | Y |
| $Y \cdot$ | Y | Z | $\mathbf{1}$ | X |
| $Z \cdot$ | Z | $-Y$ | $-X$ | $\mathbf{1}$ |

This demands a 4-parameter representation.

Rules A and B

All this still works even if (as will be the case) parameters are complex instead of real.

The four-element group $\{1, X, Y, Z\}$ is upgraded to $\{1, X, Y, Z; i, iX, iY, iZ\}$ where $i^2 = -1$.

The multiplication table

| $\downarrow \cdot \rightarrow$ | $\cdot 1$ | $\cdot X$ | $\cdot Y$ | $\cdot Z$ | $\cdot i$ | $\cdot iX$ | $\cdot iY$ | $\cdot iZ$ |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|------------|------------|------------|
| $1 \cdot$ | 1 | X | Y | Z | i | iX | iY | iZ |
| $X \cdot$ | X | -1 | $-Z$ | Y | iX | $-i$ | $-iZ$ | iY |
| $Y \cdot$ | Y | Z | 1 | X | iY | iZ | i | iX |
| $Z \cdot$ | Z | $-Y$ | $-X$ | 1 | iZ | $-iY$ | $-iX$ | i |
| $i \cdot$ | i | iX | iY | iZ | -1 | $-X$ | $-Y$ | $-Z$ |
| $iX \cdot$ | iX | $-i$ | $-iZ$ | iY | $-X$ | 1 | Z | $-Y$ |
| $iY \cdot$ | iY | iZ | i | iX | $-Y$ | $-Z$ | -1 | $-X$ |
| $iZ \cdot$ | iZ | $-iY$ | $-iX$ | i | $-Z$ | Y | X | -1 |

is upgraded to 8×8 .

This is the Lorentz group !

As in all groups, the identity **1** is special. Its coefficient gives *quantity*.

The pseudoscalar i commutes with everything so is also special.

Its coefficient is *rate of change*, with respect to phase. For any complex number(s), $\frac{d}{d\theta}(re^{i\theta}) = i re^{i\theta}$.

Rule C

$i = \frac{d}{d\theta}$ implements rule C operating on $\begin{bmatrix} r \\ \theta \end{bmatrix}$.

Lorentz factorisation

The group was $\{\mathbf{1}, X, Y, Z; i, iX, iY, iZ\}$.

$\mathbf{1}$ was interpreted as *quantity*.

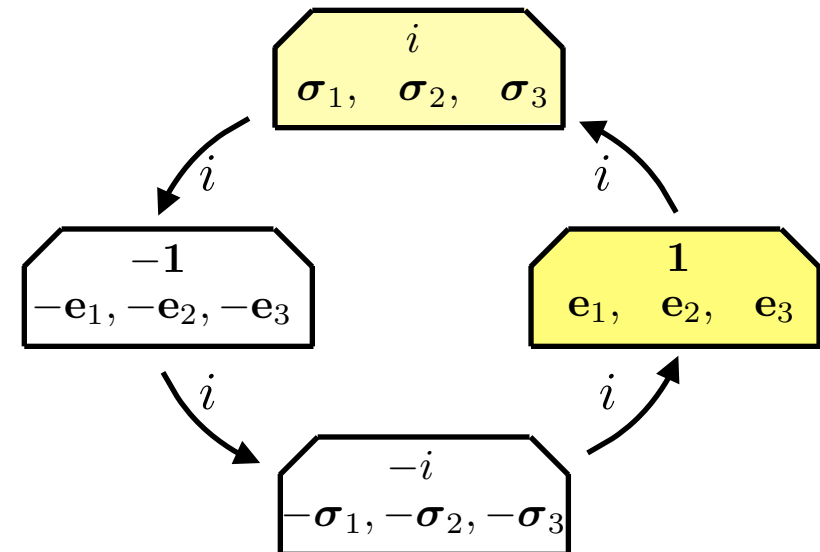
i was interpreted as *evolution*.

Of the other elements, $(X, iY, -iZ)$ square to -1 (4th order); relabel as $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$;

while $(iX, -Y, Z)$ square to $+1$ (2nd order); relabel as $(i\mathbf{e}_1, i\mathbf{e}_2, i\mathbf{e}_3) = (\underbrace{\sigma_1, \sigma_2, \sigma_3}_{\text{Pauli matrices}})$.

Lorentz group can be relabelled $\underbrace{\{\mathbf{1}, i\}}_{\text{complex}} \times \underbrace{\{\mathbf{1}, \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}}_{\text{quaternion}} = \underbrace{\{\mathbf{1}, \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}}_{\text{real}} ; \underbrace{i, \sigma_1, \sigma_2, \sigma_3}_{\text{imaginary}}$
biquaternion

| $\downarrow \cdot \rightarrow$ | $\cdot \mathbf{1}$ | $\cdot \mathbf{e}_1$ | $\cdot \mathbf{e}_2$ | $\cdot \mathbf{e}_3$ | $\cdot i$ | $\cdot \sigma_1$ | $\cdot \sigma_2$ | $\cdot \sigma_3$ |
|--------------------------------|--------------------|----------------------|----------------------|----------------------|-----------------|------------------|------------------|------------------|
| $\mathbf{1} \cdot$ | $\mathbf{1}$ | \mathbf{e}_1 | \mathbf{e}_2 | \mathbf{e}_3 | i | σ_1 | σ_2 | σ_3 |
| $\mathbf{e}_1 \cdot$ | \mathbf{e}_1 | $-\mathbf{1}$ | \mathbf{e}_3 | $-\mathbf{e}_2$ | σ_1 | $-i$ | σ_3 | $-\sigma_2$ |
| $\mathbf{e}_2 \cdot$ | \mathbf{e}_2 | $-\mathbf{e}_3$ | $-\mathbf{1}$ | \mathbf{e}_1 | σ_2 | $-\sigma_3$ | $-i$ | σ_1 |
| $\mathbf{e}_3 \cdot$ | \mathbf{e}_3 | \mathbf{e}_2 | $-\mathbf{e}_1$ | $-\mathbf{1}$ | σ_3 | σ_2 | $-\sigma_1$ | $-i$ |
| $i \cdot$ | i | σ_1 | σ_2 | σ_3 | $-\mathbf{1}$ | $-\mathbf{e}_1$ | $-\mathbf{e}_2$ | $-\mathbf{e}_3$ |
| $\sigma_1 \cdot$ | σ_1 | $-i$ | σ_3 | $-\sigma_2$ | $-\mathbf{e}_1$ | $\mathbf{1}$ | $-\mathbf{e}_3$ | \mathbf{e}_2 |
| $\sigma_2 \cdot$ | σ_2 | $-\sigma_3$ | $-i$ | σ_1 | $-\mathbf{e}_2$ | \mathbf{e}_3 | $\mathbf{1}$ | $-\mathbf{e}_1$ |
| $\sigma_3 \cdot$ | σ_3 | σ_2 | $-\sigma_1$ | $-i$ | $-\mathbf{e}_3$ | $-\mathbf{e}_2$ | \mathbf{e}_1 | $\mathbf{1}$ |



$\{\mathbf{1}, \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ factors out as the subgroup of *quaternions*.

$\mathbb{L} = \mathbb{C} \times \mathbb{H}$
!

The witches' brew

$$\underbrace{\{1, i\}}_{\text{uncertainty}} \times \underbrace{\{1, \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}}_{\text{mathematics}}$$

the language of physics

Add logic and stir.



Here as he walked by
on the 16th of October 1843
Sir William Rowan Hamilton
in a flash of genius discovered
the fundamental formula for
quaternion multiplication
 $i^2 = j^2 = k^2 = ijk = -1$
& cut it on a stone of this bridge

John Skilling and Kevin Knuth at the quaternion plaque in Dublin, 13 April 2024.

Relativistic quantum formalism is just the arithmetic of number pairs !

| | | |
|---|---|--|
| Sum rule from associative commutativity of content. Product rules from associative distributivity of operators. Number pairs, for quantity and uncertainty. | } | <i>Simple and general. No other assumptions.</i> |
|---|---|--|

| | | |
|--------------|---|---|
| We recognise | { | complex numbers underlying physics phase as ignorance accompanying quantity quantification by Born rule Lorentz group 4-spin and 4-momentum three-dimensional space special relativity with Minkowski metric matter and antimatter the Dirac equation conservation of quantity |
|--------------|---|---|